

GATA, Pre-Qualification, and Other Requirements

Office of Accountability

Amber Broughton
Technical Support Manager, Office of Accountability



Illinois
Department of Commerce
& Economic Opportunity



This Session is Being Recorded

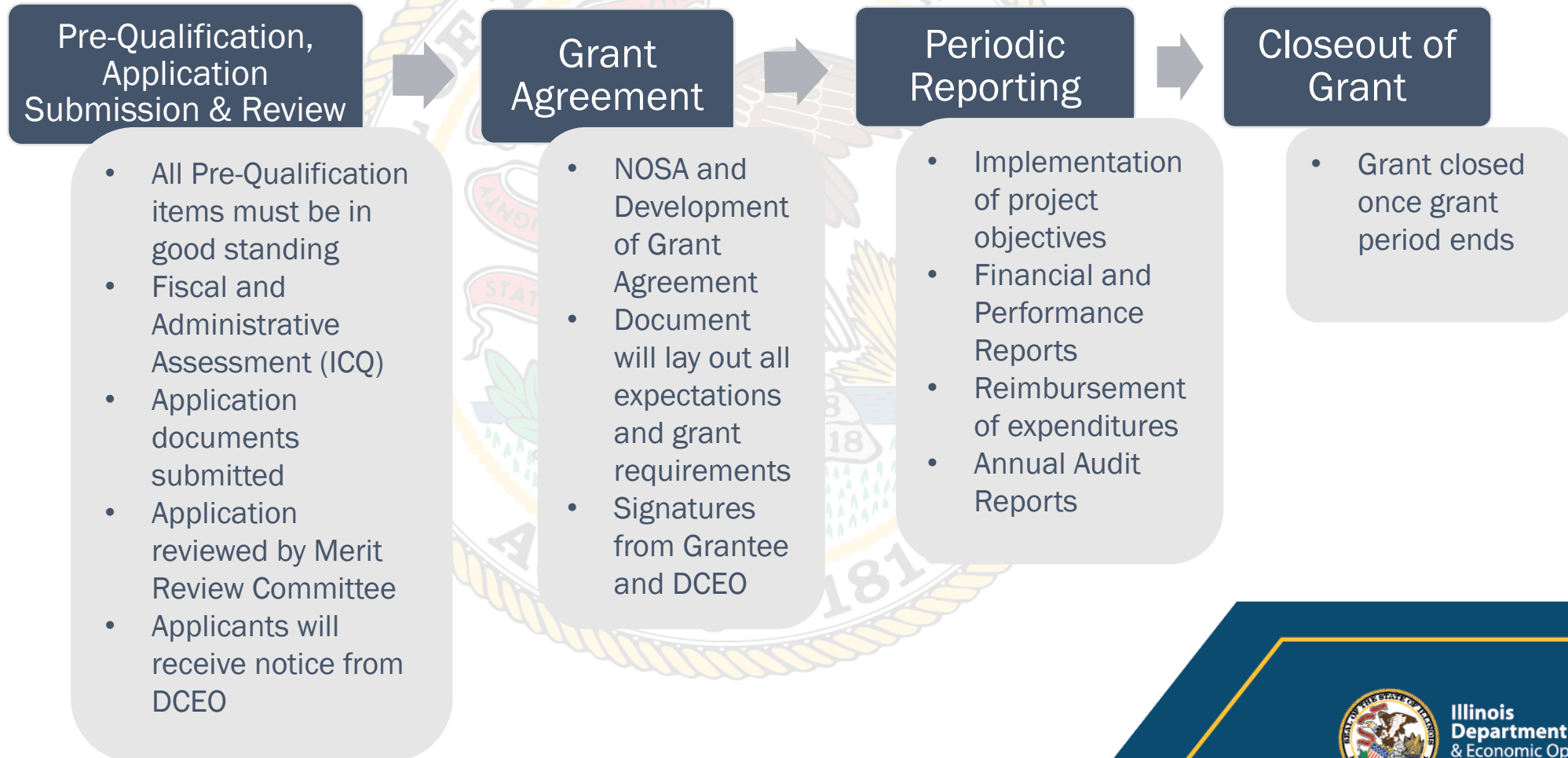


Overview

- Review the DCEO Grant Process
- How to register in the IL GATA Grantee Portal
- Pre-Qualification
- Notice of Funding Opportunity
- What to expect after Grant Award



Grants Process



What is GATA?

- GATA - Grant Accountability and Transparency Act
- GATA Goals:
 - Remove duplicative processes
 - Increase accountability and transparency
 - Follow 2 CFR 200



Getting Started in the GATA Grantee Portal

- <https://grants.illinois.gov/portal/>
 - Create Account



Illinois Grant Accountability and Transparency Act
Welcome to the GATA Grantee Portal

[Grantee Portal Sign In](#) [Amplifund Sign In](#) [Create Account](#) [Public Account Help](#) [Partner Account Help](#)

To access the Portal or Amplifund you must have an Illinois.gov account.
To create an account, click the Create Account button.
For Public domain account help, click the Public Account Help button.
For Partner domain account help, click the Partner Account Help button.

Partner account usernames end in "@external.illinois.gov" and are most likely DHS CRV account users.

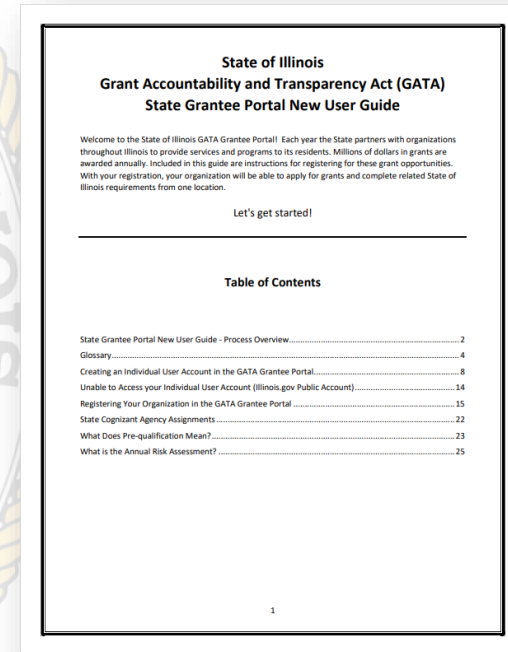
Note: To bookmark this Portal, bookmark this page. Bookmarking the sign in page will cause an error.

[Grantee Portal Frequently Asked Questions](#)



Getting Started in the GATA Grantee Portal

- GATA New User Guide
 - <https://www2.illinois.gov/sites/GATA/Documents/Resource%20Library/GATA%20New%20User%20Guide.pdf>
 - Provides step-by-step GATA Portal instructions
 - For new or current Portal users
- Grant Accountability and Transparency Unit at GOMB
 - <https://gata.illinois.gov/>



Pre-Qualification

- Required
- Maintain compliance
- Meet expectations
- Responsibly manage funds
- Effective Communication

PRE-QUALIFICATION INFORMATION	
SAM.gov Account:	Good until 11/12/2026
Federal Employer ID (FEIN):	Good
Federal Excluded Parties List:	Good
Federal Delinquent Debt:	Good
Illinois Secretary of State:	Good
Illinois Stop Payment List:	Good
Illinois DHFS Sanction List:	Good

Grantee must be in qualified status by the application review date by the state awarding agency.



Pre-Qualification in the GATA Grantee Portal

Pre-Qualification Status

Your organization not in good standing. Items in red require your action.

Items in yellow are being evaluated by the State. Their status could take up to one business day.

Requirement	Status	Remediation
SAM.gov Account	UEI not found	Help
Federal Employer ID (FEIN)	Pending	Help
Federal Excluded Parties List	Good	Help
Illinois Secretary of State	Not Found	Help
Illinois Stop Payment List	Good	Help
Illinois DHFS Sanction List	Good	Help

Your organization must remain in good standing in all pre-qualification requirements. The status of these requirements are validated nightly. Notifications when changes occur to the pre-qualification status can be emailed to Grantee Portal Access users by clicking the "Manage" button below.



SAM.gov Account

- Active registration required
 - Validated annually
- UEI Number
 - Used in the IL GATA Grantee Portal
- Registration should be set to “Public”
- Receiving UEI vs Complete Registration

Requirement
SAM.gov Account
Federal Employer ID (FEIN)
Federal Excluded Parties List
Illinois Secretary of State
Illinois Stop Payment List
Illinois DHFS Sanction List



Illinois Secretary of State

- Certificate of Good Standing
- Business entity exists
- Allowed to conduct business in Illinois
- In compliance with State regulations
- www.ilsos.gov
- Not needed for governmental entities

Requirement
SAM.gov Account
Federal Employer ID (FEIN)
Federal Excluded Parties List
Illinois Secretary of State
Illinois Stop Payment List
Illinois DHFS Sanction List



Illinois Stop Payment List

- Entity has fallen out of compliance.
- View reason in the portal
- For questions, contact your former Grant Manager or your cognizant agency
 - For DCEO, contact ceo.granthelp@illinois.gov

Requirement
SAM.gov Account
Federal Employer ID (FEIN)
Federal Excluded Parties List
Illinois Secretary of State
Illinois Stop Payment List
Illinois DHFS Sanction List



Illinois DHFS Sanction List

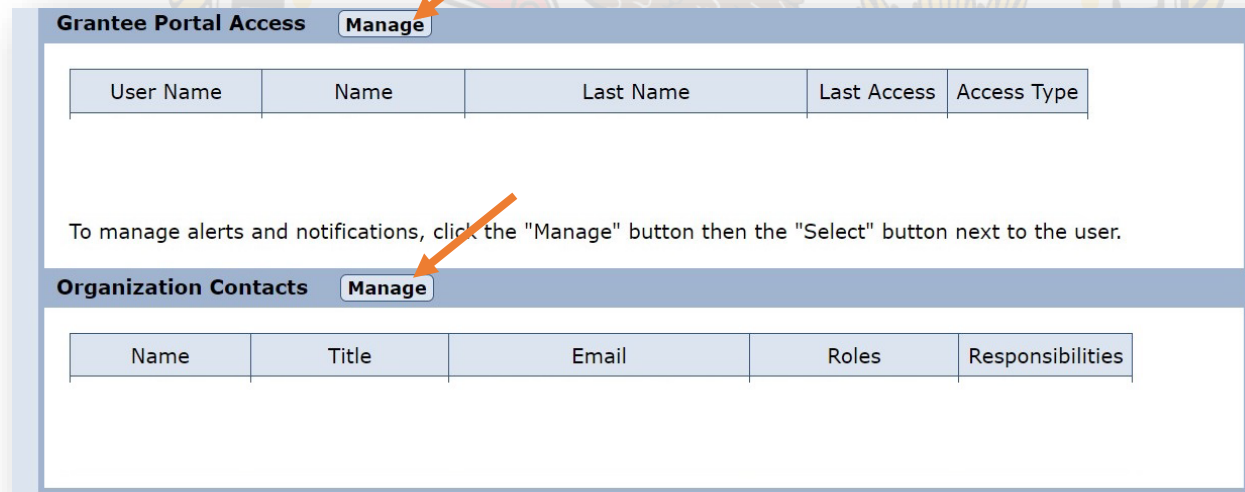
- Not eligible for Medicaid Reimbursement
- Administrative action taken against entity or individual
- Violation of:
 - Administrative Rule
 - Civil Law
 - Criminal Offense

Requirement
SAM.gov Account
Federal Employer ID (FEIN)
Federal Excluded Parties List
Illinois Secretary of State
Illinois Stop Payment List
Illinois DHFS Sanction List



Other GATA Grantee Portal Tips

- Maintain current and accurate contact list
- Ensure fiscal year end date is accurate



Grantee Portal Access **Manage**

User Name	Name	Last Name	Last Access	Access Type
-----------	------	-----------	-------------	-------------

To manage alerts and notifications, click the "Manage" button then the "Select" button next to the user.

Organization Contacts **Manage**

Name	Title	Email	Roles	Responsibilities
------	-------	-------	-------	------------------



Notice of Funding Opportunity (NOFO)

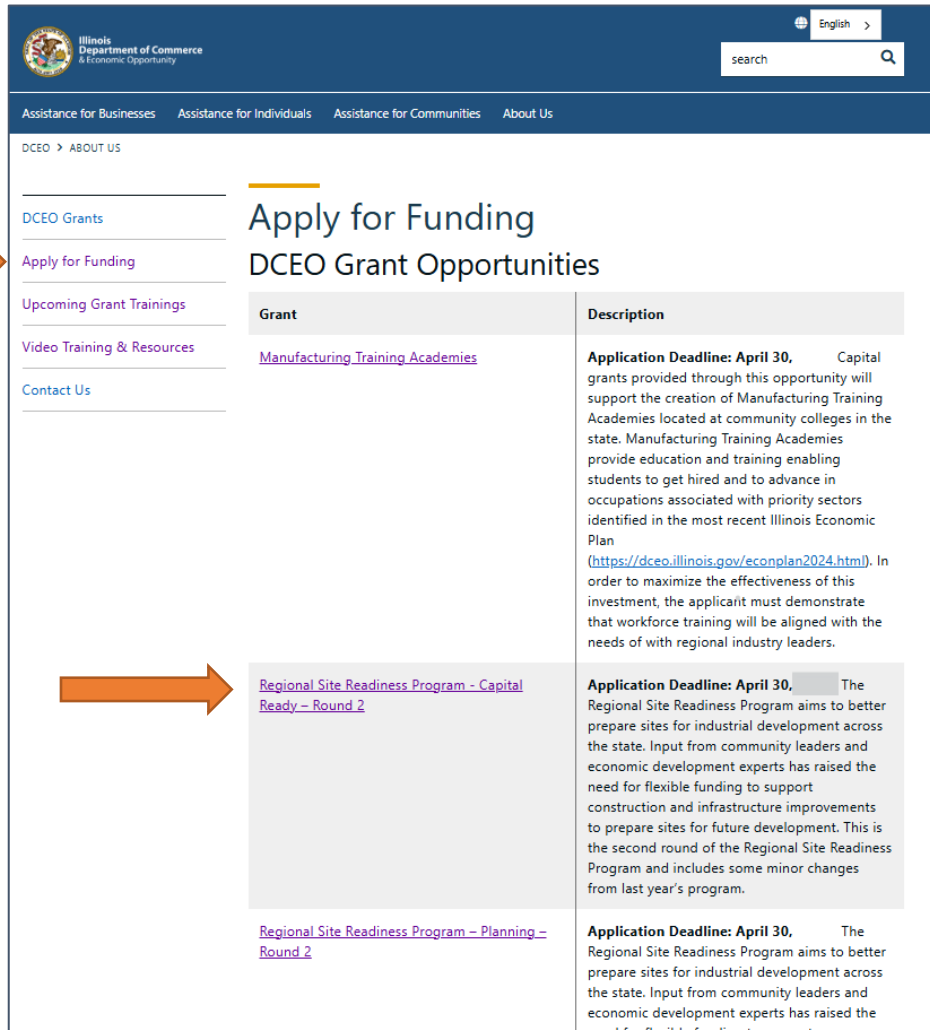
- Outlines all important details of program and how to apply
- **NOFO Sections:**
 - Program Description
 - Funding Information
 - Eligibility Information
 - Application and Submission Information
 - Application Review Information
 - Award Administration Information
 - State Awarding Agency Contacts
 - Other Information, if applicable

Other NOFOs
can be found in
the CSFA



Where to Find NOFOs

<https://dceo.illinois.gov/dceo-grants.html>



Illinois Department of Commerce & Economic Opportunity

Assistance for Businesses Assistance for Individuals Assistance for Communities About Us

DCEO > ABOUT US

DCEO Grants

Apply for Funding

Upcoming Grant Trainings

Video Training & Resources

Contact Us

Apply for Funding DCEO Grant Opportunities

Grant	Description
Manufacturing Training Academies	Application Deadline: April 30, Capital grants provided through this opportunity will support the creation of Manufacturing Training Academies located at community colleges in the state. Manufacturing Training Academies provide education and training enabling students to get hired and to advance in occupations associated with priority sectors identified in the most recent Illinois Economic Plan (https://dceo.illinois.gov/econplan2024.html). In order to maximize the effectiveness of this investment, the applicant must demonstrate that workforce training will be aligned with the needs of with regional industry leaders.
Regional Site Readiness Program - Capital Ready - Round 2	Application Deadline: April 30, The Regional Site Readiness Program aims to better prepare sites for industrial development across the state. Input from community leaders and economic development experts has raised the need for flexible funding to support construction and infrastructure improvements to prepare sites for future development. This is the second round of the Regional Site Readiness Program and includes some minor changes from last year's program.
Regional Site Readiness Program - Planning - Round 2	Application Deadline: April 30, The Regional Site Readiness Program aims to better prepare sites for industrial development across the state. Input from community leaders and economic development experts has raised the

Indirect Costs Allowed	No
Restrictions on Indirect Costs	No
Posted Date	10/30,
Application Date Range	10/30 - 04/30/ : 5:00 PM
CSFA Link	https://gata.illinois.gov/grants/csfa.html?page=Opportunity.aspx&nofo=3152
Technical Assistance Session	Offered : Yes Mandatory : No Date : 11/03/ : 12:00 PM Registration link : https://illinois.webex.com/webex/register/re32576b206d80a156ad156c7e8eb8eca

For your application to be considered, your entity must be pre-qualified. For resources on pre-qualification and other grant topics, please visit

<https://dceo.illinois.gov/aboutdceo/grantopportunities/learning-library.html>.

Application Documents – Please read the NOFO Supplement and complete the requirements

- [NOFO Supplement 3429-3152 Regional Site Readiness Program Capital Ready](#)
- [Program Application 3429-3152 Regional Site Readiness Program Capital Ready](#)
- [Uniform Application 3429-3152 Regional Site Readiness Program Capital Ready](#)

Application Submission Tips

- The NOFO contains all pertinent information
 - Contains link for application submission (do not email!)
 - Questions? Contacts are listed in NOFO
- Hard deadline!
 - If 5:00pm is the deadline, then 5:01pm is ineligible
 - Plan to submit at least a day in advance, leaving time to resolve any technical difficulties.



Fiscal and Administrative Internal Controls Questionnaire (ICQ)

- Must be completed every State Fiscal Year in the GATA Grantee Portal
- Mitigates risk and builds grantee capacity (not punitive)

Fiscal and Administrative Internal Controls Questionnaire (ICQ)				
ICQ	State FY	Date Started	Date Submitted	Date Accepted
View	2024	03-13-2023		
View	2023	04-22-2022		
View	2022	03-30-2021		
View	2021	04-14-2020		
View	2020	03-06-2019	02-25-2020	
View	2019	06-28-2018		
View	2018	12-19-2017		

An ICQ is required for each state fiscal year your organization does business with the State of Illinois. For example, state FY 2018 which begins on 7/1/2017 and runs through 6/30/2018 requires an FY 2018 ICQ. Notifications when changes occur to an ICQ status can be emailed to Grantee Portal Access users by clicking the "Manage" button below.

Illinois Grant Accountability and Transparency Act FY 2023 Internal Controls Questionnaire		
Return to Main Menu	Print	Submit
When all questions are answered the Submit button will be enabled. After the form is submitted no changes will be allowed. Grantee Portal Access users that have selected "Receive ICQ alerts and notifications" will receive an overnight notification that the status of an ICQ has change.		
Section	Questions	Answered
02 - Quality of Management System	8	0
03 - Financial and Programmatic Reporting	6	0
04 - Ability to Effectively Implement Requirements	29	0
05 - Audit	5	0
All Sections	48	0

Printing the ICQ requires Adobe Acrobat Reader.
ICQ Assistance: [Commerce And Econ Opp \(ceo.gata@illinois.gov\)](mailto:ceo.gata@illinois.gov)



Post-Award Requirements

- With Your Grant Manager
 - Periodic Financial Report
 - Periodic Performance Report
- In the GATA Grantee Portal
 - Consolidated Year End Financial Statement and Audit



Audit Types and Submission Deadlines

Total Expenditures of Federal Awards (direct or pass through)	Total Expenditures of State Awards	Not-For-Profit and Governmental Grantees	For Profit Grantees	Audit Report Submission Deadline
During the grantee's fiscal year				
\$1,000,000 or more	N/A	Single Audit	Program-Specific Audit	9 months after grantee fiscal year end
Less than \$1,000,000	\$750,000 or more	Generally Accepted Governmental Auditing Standards Financial Statement Audit		6 months after grantee fiscal year end
	\$500,000 or more	General Accepted Auditing Standards Financial Statement Audit		6 months after grantee fiscal year end
	Less than \$500,000	Submit audit report if audit conducted due to other requirements		6 months after grantee fiscal year end



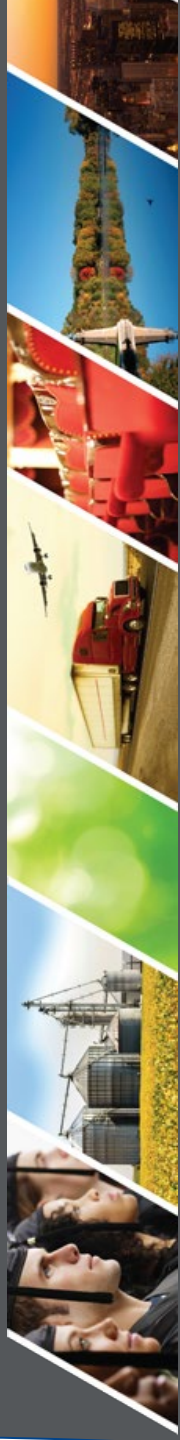
DCEO Grantee Resource Site

<https://dceo.illinois.gov/dceo-grants.html>

- Current Grant Opportunities
- Video & Resource Library
- Upcoming Grant Trainings
 - Sign up for training emails
 - Office Hours (Tuesdays 2-3pm)
- Grant Help Desk
 - ceo.granthelp@illinois.gov



A screenshot of the Illinois Department of Commerce & Economic Opportunity (DCEO) Grants Resource Site. The page has a dark blue header with the ILLINOIS.gov logo, the department name, and navigation links for AGENCIES and SERVICES. Below the header is a search bar and a navigation menu with links for COVID-19 Resources, Assistance for Businesses, Assistance for Individuals, Assistance for Communities, and About Us. The main content area is titled 'DCEO Grants' and features four large, blue, square icons arranged in a 2x2 grid. Each icon represents a different resource: a dollar sign and a pencil for 'Apply for Funding', a calendar and a person for 'Upcoming Grant Trainings', a video camera for 'Video Training & Resource Library', and an information 'i' icon for 'Contact DCEO Grant Help Desk'. Each icon is accompanied by a title and a brief description of the resource. The page is clean and professional, with a clear focus on providing grant-related information and support.



CEO.ILWorks@Illinois.Gov



Feedback ~ We want to hear from you





Mentimeter

- On the right-hand of your Webex screen click the blue **Continue** button.
- Once you click the button the Mentimeter app will show in the Multimedia viewer.



Menti.com
Code: 4383 3545

Link: <https://www.menti.com/als6syinwvir>



▼ Multimedia Viewer

External Site

The host or presenter would like Webex Meetings to open a website on your computer. Cisco is not responsible for the content or availability of external sites. We recommend that you make sure this website content is from a trusted source. If you view this page or go to this site, you will be subject to the privacy policy and terms and conditions of the destination site.

Destination site: <https://www.menti.com/hpbig2ye4r>

Continue

Participants (1)

Mentimeter

How would you describe Mentimeter?

Enter a word 25

Enter another word 25

Enter another word 25

You can submit multiple answers

Submit

Powered by Mentimeter Terms

Unmute Start video Share Record

Thank You!



Illinois
Department of Commerce
& Economic Opportunity

JB Pritzker, Governor