2018 Illinois Apprenticeship Expansion Program Notice of Funding Opportunity

Overview of the Grant Submission and Pre-Award Requirements
Agenda

• Illinois Apprenticeship Expansion Program
  *Patrick Campbell*

• Pre-Award & Submission Requirements
  *John Barr*
Program Purpose

• Illinois recognizes apprenticeships are a proven work-based learning strategy connecting individuals to a career pathway. Although a solid foundation is in place, Illinois recognizes more resources are required to meet the goal of expanding Registered Apprenticeships 25% by 2020.

• The purpose of this NOFO is to request proposals from eligible organizations capable of implementing the apprenticeship expansion priorities of Illinois’ Unified State Plan and the Illinois Apprenticeship Committee through the support of Apprenticeship Intermediaries and Regional Apprenticeship Navigators.
Registered Apprenticeship Industries - 2017

Active Registered Apprentices: 15,507*
Active Registered Programs: 431

<table>
<thead>
<tr>
<th>NAICS 2 digit</th>
<th>Industry Description</th>
<th>Active Registered Apprentices</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>11</td>
<td>Agriculture, Forestry, Fishing and Hunting</td>
<td>1</td>
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</tr>
<tr>
<td>22</td>
<td>Utilities</td>
<td>152</td>
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<tr>
<td>23</td>
<td>Construction</td>
<td>13,146</td>
<td>84.8%</td>
</tr>
<tr>
<td>31-33</td>
<td>Manufacturing</td>
<td>1,211</td>
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</tr>
<tr>
<td>42</td>
<td>Wholesale Trade</td>
<td>539</td>
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</tr>
<tr>
<td>48</td>
<td>Transportation</td>
<td>31</td>
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</tr>
<tr>
<td>52</td>
<td>Finance and Insurance</td>
<td>23</td>
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</tr>
<tr>
<td>53</td>
<td>Real Estate and Rental and Leasing</td>
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<td>0.0%</td>
</tr>
<tr>
<td>54</td>
<td>Professional, Scientific, and Technical Services</td>
<td>24</td>
<td>0.2%</td>
</tr>
<tr>
<td>56</td>
<td>Administrative and Support and Waste Management</td>
<td>26</td>
<td>0.2%</td>
</tr>
<tr>
<td>61</td>
<td>Educational Services</td>
<td>69</td>
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</tr>
<tr>
<td>62</td>
<td>Health Care and Social Assistance</td>
<td>17</td>
<td>0.1%</td>
</tr>
<tr>
<td>81</td>
<td>Other Services (except Public Administrative)</td>
<td>28</td>
<td>0.2%</td>
</tr>
<tr>
<td>92</td>
<td>Public Administration</td>
<td>239</td>
<td>1.5%</td>
</tr>
</tbody>
</table>

*According to the US Department of Labor RAPIDS System
# Registered Apprenticeship Locations

<table>
<thead>
<tr>
<th>County</th>
<th>Count</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cook County</td>
<td>6738</td>
<td>43.5%</td>
</tr>
<tr>
<td>DuPage County</td>
<td>4107</td>
<td>26.5%</td>
</tr>
<tr>
<td>Grundy County</td>
<td>566</td>
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</tr>
<tr>
<td>St. Clair County</td>
<td>492</td>
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</tr>
<tr>
<td>Peoria County</td>
<td>379</td>
<td>2.4%</td>
</tr>
<tr>
<td>Ogle County</td>
<td>344</td>
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</tr>
<tr>
<td>Champaign County</td>
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<td>Kendall County</td>
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<tr>
<td>Tazewell County</td>
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<tr>
<td>Madison County</td>
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</tr>
<tr>
<td>Brown County</td>
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<tr>
<td>Sangamon County</td>
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<tr>
<td>Macon County</td>
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<tr>
<td>Lake County</td>
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<td>Kane County</td>
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<tr>
<td>Williamson County</td>
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<tr>
<td>McHenry County</td>
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<tr>
<td>Will County</td>
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<tr>
<td>McLean County</td>
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<tr>
<td>Vermillion County</td>
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</tr>
<tr>
<td>District of Columbia</td>
<td>23</td>
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<tr>
<td>Jackson County</td>
<td>22</td>
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<tr>
<td>Logan County</td>
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<tr>
<td>Johnson County</td>
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</tr>
<tr>
<td>St. Louis County</td>
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<tr>
<td>Montgomery County</td>
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<tr>
<td>Wayne County</td>
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</tr>
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</table>

<table>
<thead>
<tr>
<th>County</th>
<th>Count</th>
<th>Percentage</th>
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</thead>
<tbody>
<tr>
<td>Bureau County</td>
<td>12</td>
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<tr>
<td>Livingston County</td>
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<tr>
<td>LaSalle County</td>
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<tr>
<td>Winnebago County</td>
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<td>Kankakee County</td>
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<td>Jo Daviess County</td>
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<td>Morgan County</td>
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<td>Carroll County</td>
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<td>Clark County</td>
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<td>Hancock County</td>
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<td>Iroquois County</td>
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<tr>
<td>Knox County</td>
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<tr>
<td>Macoupin County</td>
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<tr>
<td>Rock Island County</td>
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<tr>
<td>Boone County</td>
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<tr>
<td>Ford County</td>
<td>3</td>
<td>0.0%</td>
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<tr>
<td>Marshall County</td>
<td>3</td>
<td>0.0%</td>
</tr>
<tr>
<td>Shelby County</td>
<td>3</td>
<td>0.0%</td>
</tr>
<tr>
<td>Cumberland County</td>
<td>2</td>
<td>0.0%</td>
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<tr>
<td>Whiteside County</td>
<td>2</td>
<td>0.0%</td>
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<tr>
<td>Woodford County</td>
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<tr>
<td>Adams County</td>
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<tr>
<td>Fayette County</td>
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</tr>
<tr>
<td>Henry County</td>
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<tr>
<td>Lee County</td>
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<td>0.0%</td>
</tr>
<tr>
<td>Putnam County</td>
<td>1</td>
<td>0.0%</td>
</tr>
<tr>
<td>Scott County</td>
<td>1</td>
<td>0.0%</td>
</tr>
</tbody>
</table>

70% of Illinois’ Registered Apprentices are located in Cook and DuPage counties.
Apprenticeship Expansion Outcomes

Overall the goal is to build capacity and expand new apprenticeships

Expected outcomes include the following:

• Number of new registered apprentices

• Number of individuals (including targeted populations) that will be provided with apprenticeship-related services

• Number of new and/or expanded registered apprenticeship programs

• Number of partners in underutilized areas that receive apprenticeship expansion support

• Number of businesses engaged, outreach events & number of targeted attendees

• Number of apprenticeship sponsors receiving support

• Number of industry sector partnerships supported and developed

• Use of innovative, collaborative models/practices to create and sustain Apprenticeship programs
What is Apprenticeship?

01 BUSINESS INVOLVEMENT
Employers are the foundation of every Registered Apprenticeship program.

02 STRUCTURED ON-THE-JOB TRAINING
Apprentices receive on-the-job training from an experienced mentor for typically not less than one year.

03 RELATED INSTRUCTION
Apprenticeships combine on-the-job learning with technical education at community colleges, technical schools, apprenticeship training schools, provided on-line or at the job site.

04 REWARDS FOR SKILL GAINS
Apprentices receive increases in wages as they gain higher level skills.

05 NATIONAL OCCUPATIONAL CREDENTIAL
Registered Apprenticeship programs result in a nationally-recognized credential – a 100% guarantee to employers that apprentices are fully qualified for the job.
Illinois Apprenticeship Plus Framework

**Principles**
- Employer Engagement
- Build on What’s Working
- Access & Equity
- Innovation & Flexibility
- Accountability

**Infrastructure**
- Employer Engagement
- ED, Education, & WF Policy
- Marketing & Promotion
- Technical Assistance
- Data & Performance Reporting

**Career Pathway**

- **Pre-Apprenticeship**
  - Pre-Apprenticeship
  - Youth Apprenticeship

- **Apprenticeship**
  - Registered Apprenticeship
  - Non-Registered Apprenticeship
    - Industry Recognized Credential Programs

**Business Involvement** • Structured-on-the-Job Training • Related Instruction • Rewards for Skill Gains • Industry Recognized Credential

**Funding Sources**
- Federal ApprenticeshipUSA Funds
- Federal WIOA Funds
- IWIB Apprenticeship Committee
- Other State & Federal Funds
- Company and Other Private Funds
Career Pathway Elements

Aligns with the skill needs of industries in the economy of the State or region involved;

Prepares an individual to be successful in any of a full range of secondary or postsecondary education options, including apprenticeships registered under the National Apprenticeship Act;

Includes counseling to support an individual in achieving the individual’s education and career goals;

Includes, as appropriate, education offered concurrently with and in the same context as workforce preparation activities and training for a specific occupation or occupational cluster;

Organizes education, training, and other services to meet the needs of an individual in a manner that accelerates the educational and career advancement of the individual to the extent practicable;

Enables an individual to attain a secondary school diploma or its recognized equivalent, and at least one recognized postsecondary credential;

Helps an individual enter or advance within a specific occupation or occupational cluster.
Coordination with Regional Workforce Plans

The Department of Commerce will consider projects from economic development regions throughout the state. The goal is to fund 2-3 projects in northern Illinois, 1-2 projects in central Illinois, and 1-2 projects in southern Illinois.

Applicants must identify the workforce / economic development region and administer the pilot programs within the context of the State, Regional, and Local workforce development plans.

The WIOA Regional and Local Plans may be viewed at: www.illinoisworknet.com/WIOA/RegPlanning/Pages/Plans_MOUs_Dashboard.aspx

Applicants must work with the State, Regional, and Local WIOA partners to implement the project in coordination with WIOA. Illinois workforce and economic development regions are depicted in the map on this page.
2018 Apprenticeship Expansion Notice of Funding Opportunity

The purpose of this NOFO is to request proposals from eligible organizations capable of implementing the apprenticeship expansion priorities of Illinois’ Unified State Plan and the Illinois Apprenticeship Committee through the support of Regional Apprenticeship Navigators and Apprenticeship Intermediaries.

- A Regional Apprenticeship Navigator promotes and builds capacity with various organizations to expand apprenticeship programs
- An Intermediary creates or expands Registered Apprenticeship or pre-apprenticeship pilot programs
- An organization may serve as both the Intermediary and Regional Navigator roles.
Regional Apprenticeship Navigators focus on business engagement within Illinois’ economic development regions using targeted strategies.

• Regional Apprenticeship Navigators build capacity by developing and strengthening the knowledge, skills, abilities, processes, and resources that businesses, educational institutions, local workforce areas, and community partners need to create new or expand existing apprenticeship programs.

• Apprenticeship Navigators will develop sector and regional partnerships between interested parties with the common goal of growing the talent pipeline.

• Navigators will build relationships with regional employers and ease the access to apprenticeship for business and employees as the lead outreach and technical expert in their assigned geographic region.
Regional Apprenticeship Navigators
Role/Function

- Establish and build partnerships in the region(s), which includes the following stakeholders:
  - Education – High Schools, Community Colleges, Training Providers
  - Employers and Associations
  - Public Entities and CBOs
  - Others

- Understand and communicate employers’ needs (demand).
- Understand and communicate about educational programs and other services available (supply).
- Understand and communicate how the pieces fit for apprenticeship expansion.
Regional Apprenticeship Navigator Characteristics

• Knowledgeable about apprenticeships and workforce development/solutions
• Successful and experienced in business engagement
• Fosters the development of partnerships, get people to the starting line, “holds their hand” guiding them through the process
• Acts as Apprenticeship Point of Contact for the region
• Creative, Resourceful, Accountable, Well Prepared, Highly Engaged, Goal Oriented, Relationship Driven, Salespeople
• Can be Chambers of Commerce, Local Workforce Areas, Associations/Coalitions, Service Providers, Community Based Organizations, Community/Technical Colleges, other Entities
## Navigators Understand Workforce Challenges

<table>
<thead>
<tr>
<th>CHALLENGE</th>
<th>DESCRIPTION</th>
<th>INDICATOR</th>
</tr>
</thead>
<tbody>
<tr>
<td>Skills Gaps in Hiring</td>
<td>Businesses need to hire and have plenty of applicants, but applicants do not have the skills required to do the job.</td>
<td>Job postings stay open for a long time.</td>
</tr>
<tr>
<td>Development of Existing Talent</td>
<td>Businesses have opportunities to advance current employees, but those employees lack the required skills for these positions.</td>
<td>Instead of promoting from within, businesses are posting job openings for mid-level positions.</td>
</tr>
<tr>
<td>Attracting and Retaining Talent</td>
<td>Businesses are experiencing unusually high turnover and/or having difficulty finding interested applicants.</td>
<td>Jobs are constantly being posted and reposted.</td>
</tr>
<tr>
<td>Retiring Workforce</td>
<td>Businesses are experiencing high levels of retirement in skilled positions.</td>
<td>A business is posting multiple job openings for skilled positions that have not been open in the recent past.</td>
</tr>
<tr>
<td>Advancing Technology</td>
<td>Businesses have enough workers, but are struggling to keep pace with technological and industry advances.</td>
<td>No jobs are open, but the business has expressed an interest in customized and/or technical training.</td>
</tr>
</tbody>
</table>
## Navigators Speak the Language of Business

<table>
<thead>
<tr>
<th>Apprenticeship Speak</th>
<th>Business Speak</th>
</tr>
</thead>
<tbody>
<tr>
<td>Outreach and Selection</td>
<td>Recruitment and Interviewing</td>
</tr>
<tr>
<td>Work Processes/Competencies</td>
<td>Job Descriptions/Position Responsibilities</td>
</tr>
<tr>
<td>Related Technical Instruction</td>
<td>Internal/External Training, Education, or On-boarding</td>
</tr>
<tr>
<td>On-the-Job Training/On-the-Job Learning</td>
<td>Mentoring/Supervision of Work, Performance Reviews</td>
</tr>
<tr>
<td>Stepped-Up Wages</td>
<td>Merit-Based Increases, Performance Increases</td>
</tr>
<tr>
<td>Certificate of Completion</td>
<td>Position/Title Change</td>
</tr>
</tbody>
</table>
The “Sales” role of the Navigator

• Don’t assume everyone understands apprenticeships and the need for the program.
• Provide context and details for your proposal to build understanding of the apprenticeship approach.
• Don’t leave room for surprises, debunk the myths and connect the dots.
• Meet with your stakeholders and understand their issues.
• Leverage appropriate resources and determine which elements of an apprenticeship program are needed to be in place.
• Don’t give up.
• If the answer is no, understand the rationale, make adjustments and try again.
Apprenticeship Intermediary

- An organization that can help broker local, regional, and national workforce solutions by, among other things, helping job seekers find jobs and employers find workers; convening employers and community partners to determine workforce trends; and assisting in blending customized services and seed funding to grow the demand for new apprenticeship programs.

- Examples of apprenticeship intermediaries include industry associations, Institutions of Higher Education, CBOs, chambers of commerce, local workforce areas, and community service organizations. Industry Intermediaries usually specialize in a specific sector, but some may possess expertise that cuts across more than one market.
Role of Apprenticeship Intermediaries

Intermediaries often serve as the Sponsor of the apprenticeship program and the activities include, but are not limited to:

• Supporting the registration of new programs with the USDOL Office of Apprenticeship

• Sharing or utilizing resources from community colleges or other organizations for process acceleration that help stakeholders rapidly embrace programs; this includes sharing curricula and standards

• Aggregating the needs of small employers within an industry

• Identifying “champions” and “coaches” for apprentices, employers, and faculty

• Providing informational sessions on available or soon-to-be available programs
Role of Apprenticeship Intermediaries

• Targeting underrepresented population(s) and creating a plan for outreach, wraparound supports and accommodations

• Managing Registered Apprenticeship Programs

• Developing the program design and coordinating the program activities including the recruitment, assessment, case management, related technical training, work-based training, supportive service and placement of participants

• Facilitating the participation of employers and other partners

• Supporting the development and expansion of apprenticeship program in “non-traditional” industries, employers and occupations.

• Identifying funding and resources to support demonstration projects
Importance of Partnerships

The Development of partnerships should result in the following:

• To connect with businesses that need training for their workforce

• To connect with organizations that can facilitate or provide skill upgrade training to these workers

✓ Industry & Business Associations
✓ Community Colleges
✓ Public-Private Partners
✓ Local Workforce Agencies
MOU “Musts”

- Recruitment
- Eligibility Determination
- IWDS Entry
- Enrollment
- Reporting
- Performance Requirements
- Case Management
- Follow-up
WIOA Performance

- Projects that provide services directly to participants are expected to meet the WIOA performance measures established for the LWIA in which the services are being provided. (Appendix C)

- Negotiated performance measures unique to each local area are applicable to all participants served under this NOFO and are listed in Appendix D.

- When the Grantee is not an LWIA, WIOA performance measures and targets will be determined by the State.
Priority Populations

- Grant funds may be used to help develop strategies for effectively serving individuals with barriers to employment and for coordinating programs and services among workforce partners.

- Projects must be focused on serving the targeted populations identified in Illinois’ Unified State Plan.

Projects that serve individuals must meet the WIOA Eligibility, Reporting & Performance Requirements
Targeted Industries and Occupations

- Applications must target a specific industry(ies) that aligns with the state and/or regional workforce plan.
  - State of Illinois Unified State Plan
    https://www.illinoisworknet.com/wioastateplan
  - WIOA Regional/Local Plans by Economic Development Region
    https://www.illinoisworknet.com/WIOA/RegPlanning/Pages/Plans_MOUs_Dashboard.aspx

- Targeted industries must be based on an analysis of labor market information.
### Reporting Requirements

#### Incumbent Workers
- **IL Workforce Tracking System**
  - Workers
  - Training Information
  - Outcomes
- Monthly Cost Reporting
- Quarterly Reports

#### WIOA Participants
- **IL Workforce Development System**
  - Eligibility & Services Provided
  - Case notes
  - Outcomes
- Monthly Cost Reporting
- Quarterly Reports
Application Options

Please note that organizations may submit a single proposal under this NOFO:

• As an Intermediary creating or expanding Registered Apprenticeship or pre-apprenticeship pilot programs;
• As a Regional Apprenticeship Navigator promoting and building capacity with various organizations to expand apprenticeship programs; or
• Serving in both the Intermediary and Regional Navigator roles in creating or expanding registered apprenticeships or pre-apprenticeships pilot programs and providing regional assistance as a regional navigator.
**Bidders’ Conferences/Workshops**

**Chicago, IL** – August 14, 2018, 10:00 am – 3:00 pm  
Bilandic Building, 160 N LaSalle St, Chicago, IL 60601  
Register here: [https://grantworkshopchicago.eventbrite.com](https://grantworkshopchicago.eventbrite.com)

**Naperville, IL** – August 15, 2018, 10:00 am – 3:00 pm  
NIU Naperville, 1120 E Diehl Rd, Naperville, IL 60563  
Register here: [https://grantworkshopnaperville.eventbrite.com](https://grantworkshopnaperville.eventbrite.com)

**Marion, IL** – August 21, 2018, 10:00 am – 3:00 pm  
State Regional Office Building, 2309 West Main Street, Marion  
Register here: [https://grantworkshopmarion.eventbrite.com](https://grantworkshopmarion.eventbrite.com)

**Collinsville, IL** – August 22, 2018, 10:00 am – 3:00 pm  
Illinois State Police, 1102 Eastport Plaza, Collinsville, IL 62234  
Register here: [https://grantworkshopcollinsville.eventbrite.com](https://grantworkshopcollinsville.eventbrite.com)

**Springfield, IL** – August 23, 2018, 10:00 am – 3:00 pm  
Lincoln Land Community College, Montgomery Hall  
2450 Foundation Drive, Suite 100 Springfield, IL 62703  
Register here: [https://grantworkshopspringfield.eventbrite.com](https://grantworkshopspringfield.eventbrite.com)

**Rockford, IL** – August 29, 2018, 10:00 am – 3:00 pm  
Regional Design Center, 315 North Main Street, Rockford 61101  
Register here: [https://grantworkshoprockford.eventbrite.com](https://grantworkshoprockford.eventbrite.com)
Apprenticeship Tools & Resources

- US Department of Labor Workforce GPS: https://apprenticeshipusa.workforcegps.org/


- High Level Apprenticeship Partner Roles Infographic: https://www.dol.gov/apprenticeship/docs/Partner_Roles_Infographic_20150616.pdf

- Illinois workNet: www.illinoisworknet.com/apprenticeshipplus
Apprenticeship Tools & Resources: Sponsors & Business Outreach

• USDOL Interactive Map of Sponsor Programs: https://oa.doleta.gov/bat.cfm

• USDOL Marketing to Apprenticeship Sponsors:
  https://apprenticeshipusa.workforcegps.org/resources/2017/03/13/10/27/Apprenticeship-Sponsors-as-Eligible-Training-Providers

• USDOL Business Outreach Guides & Fact Sheets:
  • https://apprenticeshipusa.workforcegps.org/resources/2017/02/02/10/12/Marketing-Outreach-to-Business-on-Apprenticeship

• USDOL Industry Focused Flyers:
  • https://www.dol.gov/apprenticeship/pdf/ATR-SkillTrades.pdf
Apprenticeship Tools & Resources: Workforce Professionals


• US Department of Labor Quick Facts Resources:
  • Use of Funds: https://www.dol.gov/apprenticeship/toolkit/docs/Desk-Aid-Use-of-Funds.pdf
  • Performance: https://www.dol.gov/apprenticeship/toolkit/docs/Desk-Aid-Performance.pdf
Application Requirements

• Uniform Application Form
• Executive Summary
• Technical Proposal
  • Applicant Capacity
  • Documentation of Need
  • Specific Project Requirements and Considerations
  • Project Plan
• Resumes of Key Program Staff
• Memorandum of Understanding
• Budget Proposal
Application Review Information

• Applicants must demonstrate that they meet all of the requirements outlined in the Notice of Funding Opportunity.

• The following criteria will be used to evaluate applications:
  • Applicant Capacity (20%)
  • Documentation of Need (25%)
  • Project Quality and Integration (30%)
  • Cost Effectiveness/Return on Investment (25%)
## Application Timeline

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<th>Application Event</th>
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<td>Grant Information Workshops</td>
<td>August</td>
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<td>Applications Due</td>
<td>September 17, 2018</td>
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<td>Grant Negotiation</td>
<td>October/November</td>
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<td>Grant Award (Anticipated)</td>
<td>December</td>
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Grant Submission Details

• Applications must be received via email no later than 5:00 p.m. on September 17, 2018 to grantapplication@illinoisworknet.com including:
  • Uniform Application Form
  • Executive Summary
  • Technical Proposal
  • Resumes of Program Staff
  • Partnership Agreement and/or MOUs
  • Budget Proposal

• Applications must be formatted on 8 1/2 x 11-inch paper using 11-point type and at 100% magnification. Tables may be used to present information with a 10-point type.

• The Technical Proposal is limited to 12-18 pages.
Freedom of Information / NOFO Questions

• Applications are subject to disclosure in response to requests received under provisions of the Freedom of Information Act (5 ILCS 140/1 et seq.). Information that could reasonably be considered to be proprietary, privileged, or confidential commercial or financial information should be identified as such in the application.

• Questions regarding the grant opportunity can be sent to: Patrick.Campbell@Illinois.gov

• The program webpage includes a question and answer document for all applicants to view: http://www.illinoisworknet.com/apprenticeshipnofo
This part of the presentation will focus on the pre-award requirements of a workforce grant from the Illinois Department of Commerce and Economic Opportunity.
The Grant Accountability and Transparency Act (GATA), 30 ILCS 708/1 et seq., is a State of Illinois law to:

- Increase accountability and transparency in the use of grant funds
- Reduce the administrative burden on both State agencies and grantees
- Adoption of the federal grant guidance and regulations codified at 2 CFR Part 200 (Uniform Requirements)
Grantee Pre-Award Requirements that must be Completed Prior to Grant Award Execution

- Grantee Registration
- Grantee Pre-Qualification
- Financial and Administrative Risk Assessment (ICQ – Internal Control Questionnaire)
- Programmatic Risk Assessment
- Mandatory Disclosures & Conflict of Interest Documents
GATA & Indirect Cost Rate System

Office of Management and Budget GATA Website: http://grants.illinois.gov

- **Grantee Links Tab** is the entry point for the GATA portal
  - Authentication
  - Registration
  - Pre-qualification
  - Fiscal & Administrative Risk Assessment

- **Centralized Indirect Cost Rate System** will be used to elect the indirect cost rate option and, if necessary, complete the indirect cost rate negotiation process.
  - Grantees that are not current users in the system will receive an invitation to the Centralized Indirect Cost Rate System once a Notice of State Award (NOSA) is generated by a State awarding agency
Grantee Registration

• All Grantees must be registered with the State of Illinois using the Illinois.gov Authentication Portal. A personal or business email address is required to establish an account.

• Grantee Registration is completed by browsing to https://grants.illinois.gov/portal and associating your Illinois.gov account with your organization.

• Completing the registration process triggers Grantee pre-qualification verifications.
Grantee Pre-Qualification

• Pre-Qualification includes verification of:
  • Current DUNS number
  • Current SAM.gov account
  • Good Standing with Secretary of State
  • Not on Federal Excluded Parties List
  • Not on the Illinois Stop Payment List
  • Not on the DHFS Provider Sanction List

*Pre-qualification is dynamic and verifications are completed nightly.*
Pre-Qualification Notification

• If there are no issues, the GATA portal will send email to communicate “Qualified” status.

• If there are issues, the GATA portal emails qualification issue(s)
  • DUNS number is not current
  • SAM CAGE Code is not current
  • Not in Good Standing with Secretary of State
  • On the Federal Excluded Parties List (cannot be remediated)
• Fiscal Risk Assessment (ICQ) is automated.
  • The Grantee can access the ICQ from the grantee portal.
  • The ICQ is completed on an annual basis by the Grantee.
  • The ICQ should be completed at the entity-wide level.
  • All state agencies will utilize the results of the ICQ.

• Programmatic Risk Assessment will be conducted by the awarding agency (DCEO) in the application process. It is unique to each NOFO and grant program and is typically completed if the proposal is recommended for funding.

• Risk profiles will be determined based on the two risk assessments. Risk profile will determine grant specific conditions and monitoring.
Indirect Cost Rate Selection
Centralized Indirect Cost System

• All grantees must select an Indirect Cost Rate option in a centralized indirect cost rate system.

• An indirect cost rate is a device used for determining the appropriate amount of indirect costs each program should bear. An Indirect Cost Rate is the ratio between the total indirect expenses and some direct cost base.

• Options available for a Grantee to receive an Indirect Cost Rate:
  • Current Federal negotiated Indirect Cost Rate Agreement
  • Negotiate a rate with the State of Illinois
  • Elect to use the Federal 10% “de minimis” rate of Modified Total Direct Cost (MTDC)*
  • Use a “restricted” or “special” rate that is statutorily required within program rules
  • Elect to decline any indirect cost rate

* Note that if a grantee organization has ever federal or a state-negotiated rate, they are ineligible for the de minimis rate.
Indirect Cost Rate Proposals & Elections

• Centralized Indirect Cost System can be accessed at [http://grants.illinois.gov](http://grants.illinois.gov) from the dropdown menu in the Grantee Links Tab. This site includes:
  - FAQs
  - Training Modules
  - Forms and Indirect Cost Rate Templates
  - Department of Labor Indirect Cost Rate Guide

• An indirect cost proposal or rate election must be initiated with the Centralized Indirect Cost Rate system upon notice of award. The indirect cost rate proposal or rate election must be completed no later than **three (3) months** after the effective date of the State award.

• Uniform Guidance (2 CFR 200) requires an *annual* submission of an indirect cost proposal or rate election. The Centralized Indirect Cost Rate system will be used for annual renewals. Annual submissions must be received within **six months** after the Grantee’s fiscal year end.
Standard Application & Grant Award Documents

• Notice of Funding Opportunity (NOFO)
  Catalog of State Financial Assistance
  https://www.illinois.gov/sites/GATA/Grants/SitePages/CSFA.aspx

• Uniform Application for State Grant Assistance

• Uniform Budget Template

• Notice of State Award
Uniform Application for State Grant Assistance

• Agency Information
  • Funding Opportunity Information
  • Instructions on How to Submit an Application
  • Required Grant Information

• Applicant Completed Section
  • Applicant Information
  • Contact Information
  • Key Project Information (Location, Term, Amount)
  • Fiscal Information

• Certification
Uniform Grant Agreement - Part I

- Article I Award and Grantee Specific Information
- Article II Required Representations
- Article III Definitions
- Article IV Payment
- Article V Scope of Grant Activities/Purpose of Grant
- Article VI Budget
- Article VII Allowable Costs
- Article VIII Required Certifications
- Article IX Criminal Disclosure
- Article X Unlawful Discrimination
- Article XI Lobbying
Uniform Grant Agreement – Part I

• Article XII Maintenance and Accessibility of Records; Monitoring
• Article XIII Financial Reporting Requirements
• Article XIV Performance Reporting Requirements
• Article XV Audit Requirement
• Article XVI Termination; Suspension
• Article XVII Subcontracts/Sub-Grants
• Article XVIII Notice of Change
• Article XIX Reorganization and Board Membership
• Article XX Agreements with other State Agencies
• Article XXI Conflict of Interest
• Article XXII Equipment or Property
• Article XXIII Promotional Materials; Prior Notification
• Article XXIV Insurance
• Article XXV Lawsuits and Indemnification
• Article XXVI Miscellaneous
Uniform Grant Agreement – Part I

• Exhibit A – Project Description
• Exhibit B – Deliverables or Milestones
• Exhibit C – Payment
• Exhibit D – Contact Information
• Exhibit E – Performance Measures
• Exhibit F – Performance Standard
• Exhibit G – Specific Conditions
Uniform Grant Agreement

PART 2
• Grantor Specific Terms

PART 3
• Project Specific Terms
Grantee Resources

- http://www.illinoisworknet.com/GATA
- https://www.illinoisworknet.com/ApprenticeshipPlus
- https://apps.il-work-net.com/WIOAPolicy/Policy/Home
- https://www.illinoisworknet.com/wioa_stateplan
- https://www.workforcegps.org/
- http://www.naswa.org/
- https://www.doleta.gov/
For More Information Contact

• Apprenticeship Expansion – Patrick Campbell
  Patrick.Campbell@Illinois.gov

• GATA / Program Administration – John Barr
  John.W.Barr@Illinois.gov